

Fraud Investigations

Number	Date	Time	Method	Handled By	Issue	Location	Results / Outcome
2011-01	1/18/2011		Employee Tip	Townes	██████████ with Horry Maintenance called to let us know that he had uncovered a procurement card fraud. An employee, Denise Johnson, was using her procurement card to purchase personal items.	Horry Maintenance	Through reviewing countless receipts and credit card statements, it was determined \$53,000+ was stolen in merchandise by Ms. Johnson. SLED contacted. Denise Johnson arrested.
2011-02	1/20/2011	8:07	Phone	Townes	██████████ of ██████████ (DBE) called the Hotline. Stated ██████████ owed him approx. \$50,000 for work performed 6 months prior. He has already spoke to ██████████, ██████████ and ██████████ and ██████████.		Townes spoke to Comm Isaac, and Charles, Russ, etc. Russ wants parties to meet with Contract Assurance to resolve this issue. Per Russ, CPM people are happy.
2011-03	3/11/2011	10:15	Phone	Townes	Caller said she was a DOT employee and upset about ██████████ actions. Said ██████████ wears DOT jackets at home, is logging incorrectly, siphons gas to his car at home, sexually harasses female employees, and uses DOT vehicle for his personal use.	Dist 5 Horry Signal Shop	Paul/Darlene to travel to interview caller
2011-04	4/6/2011		Phone	Townes	Multiple complaints including: Favoring one tree removal company over the other, ██████████ Telephone Usage (Cell), sexual harassment, favoritism	Dist 2	Christy Hall conducted tree investigation and found nothing. Paul Townes is still researching this and other matters. Pulled Cell Phone Records and attempted to verify whether numbers dialed were business related.
2011-05	4/20/2011		Phone	Townes	Mr. ██████████ claimed that bids for tree removal were not handled correctly as DOT delayed award of the project to allow for a late bid.	Jasper Maintenance	Townes conducted the investigation and met with Robert Clark and Clem Watson. The late bid was actually the highest bid of them all and was not awarded the contract. Unsubstantiated.
2011-06	5/2/2011		Phone	Townes	Caller said a driver for ██████████ was made to stay in his cab for over 10 hours during the tornados in Georgia on 4/27/11 (2nd call)		Townes called State Transport Police. STP said they do not research anonymous complaints. Caller has not called back as of 5/19/2011.

2011-07	6/30/2011	3:30	Phone	Griggs - Townes	<p>Caller from Laurens that called refering to safety issues in Laurens shop called again stating that Laurens is blacksliding again into old habits since the Safety investigation.</p> <p>Comments were made about the Lead on each crew is to have their herbicide license and there is a supervisor on one crew acting in the lead position because the Lead does not have his herbicide license. Comment also made about safety equipment (Orange Vest, Chaps, and Orange Pants) not being available on the trucks like he feels they should be.</p>	Laurens Maintenance Shed including Clinton Shed	Discussed with Townes on 7/5/11 not sure how to proceed due to no new directive on fraud calls
2011-08	7/27/2011	10:00am	Phone	Townes	<p>Caller from Jasper Maintenance called to say that he was the victim of job discrimination. During the course of the call, Townes also uncovered possible paperwork input errors for the foreman reports.</p>	Jasper Maintenance	Turned over to Darlene in HR to investigate.
2011-09	8/9/2011		Phone	Wilkes	<p>Caller had two complaints. First issue is vehicle safely/maintenance of trailers. One tire has caught on fire three times and the driver was suspended. Also the HR manager is wife of Safety manager and caller feels that employees can't speak to HR about safety due to this.</p>	Dist 5- Georgetown	Turned over to Walsh/Clem
2011-10	8/10/2011		Secretary's office	Wilkes/Washington	<p>Wendy Nicholas requested that we contact [REDACTED], who retired from [REDACTED] had requested an exit interview and made allegations at that time that were never followed up on.</p>	HQ- [REDACTED]	No Action HR
2011-11	8/10/2011		Secretary's office	Wilkes/Griggs	<p>Wendy Nicholas sent a request from the Governor's Office of Inspector General (Jim Martin) to investigate a citizen complaint. The citizen viewed the Transparency report on the DOT Internet and wanted to know why so much money was spent at Waffle House and other restaurants in January 2011</p>	Statewide	Joel Griggs discovered the January 10-12 charge dates were made during the snow storm. Employees working during HW conditions to clear snow had food purchased for them by the various districts. An e-mail with the attached DOT HW policy was sent to Mr. Martin.
2011-12	8/15/2011		Phone	Townes	<p>Fraud Hotline call from employee that said [REDACTED] was unresponsive to employee complaints, let entire office see everyone's time, and talked about office issues in public.</p>	Kershaw	Townes spoke with Darlene Rikard in HR who will handle the complaint if the caller calls again.

2011-13	9/12/2011		Phone	Griggs	Citizen Complaint filed through SC Inspector General's Office concerning the January Audit Committee Meeting and mention of "significant fraud" where SLED had been involved and wondered if the fraud was tied into the cash management situation	Unknown	Griggs listened to the Audit Committee tape from January 2011 and determined that fraud mentioned related to Denise Johnson situation in Conway. Issued Memo to Inspector General stating this
2011-14	9/14/2011		Phone	Griggs	██████████ called about wife, ██████████ who works in ██████████ Maintenance. Wife was physically threatened in July 2011 by ██████████ and transferred to another work crew pending investigation and handling by Columbia. Wife is being transferred back to original work crew with the stipulation that husband is not allow on premises. Husband would like to know if Columbia handled a complaint and why he is not allowed back on premises.	██████████ County Maintenance	Given to Darlene on 9/14/11 to investigate. Darlene responded verbally that there was blame to go around on all sides and the story she received was a little different. Audit sees this as a possibility considering it was a 3rd party that called OCIA.
2011-15	9/15/2011		Phone	Kendall	Called stated: ██████████, Lex Maint employee, was "faking" injury and illness to avoid work and uses a DOT vehicle to attend doctor's appts.	Lexington Maintenance	Unsubstantiated
2011-16	8/10/2011		Employee Tip	Wilkes/Griggs/Townes	██████████ in Legal had several complaints about abuse of time in the Legal office.	HQ	Townes had James Lee (Security) pull tapes of the accused employees entering and exiting DOT. Time shortages were found by doing this that were not substantiated by the employee's supervisors. Wilkes met with Linda in legal. Linda will schedule a group meeting to discuss the time issues.
2011-17	7/11/2011		PO Box	Townes	Letter alleged ██████████ Construction, was stealing company time.	HQ	Townes had James Lee (Security) pull tapes of the accused employee entering and exiting DOT. Unfounded.
2011-18	7/23/2011		PO Box	Townes	Letter alleged nepotism related to ██████████ hiring an admin who is the wife of ██████████	Dist 5	Unfounded.
2011-19	8/30/2011		Phone	Townes	Caller alleged driver had no CDL (suspended) and 1 was oeprating heavy machinary while taking insulin	Bethune	Referred to Donnie in Safety. Insulin is not a concern

2011-20			Phone	Townes/Wilkes	Caller said that a stray dog that had been fed for "years" by the office was dying and nobody would come get it.	Chester	Turned over to management
2011-21	8/31/2011		Phone	Townes	Tipped that a traffic controller used at the Charleston Airport that was represented as new was from Florence Signal Shop.	Florence	Involved SLED. Missing paperwork was "found" issue was submitted to management for additional review 1/13
2011-22	8/15/2011		PO Box	Townes	Claimed a former employee [REDACTED] used to brag to people that she smoked but did not pay the insurance surcharge.	Bennettsville	No Action
2012-1	1/26/2012	11:45	Phone	Griggs	Caller works in Lex and needs training to get his CDL which expires in [REDACTED] and [REDACTED] seems to want to help [REDACTED] who does not work on the same crew his CDL more	Lexington	Referred to Darlene on 1/27/2012. Darlene was not sure how much she could do without additional information such as name.
2012-2	2/29/2012	9:50	Phone	Townes	Caller reported that contractor [REDACTED] is filling potholes with sand instead of proper materials because they had not paid their contractors	Several areas including Ft. Jackson	Pulled information from new system in AP which is since 9/9/11
2012-3	3/1/2012		Phone	Townes	Caller reported that one of the DOT [REDACTED] Manuals has been copyrighted by one of the [REDACTED] and one other individual as a part of presenting a course in another state.	Headquarters	Townes working with Legal on how to pursue
2012-4	3/5/2012		Phone	Townes/Griggs	Caller reported that [REDACTED] in Newberry had group of co-workers over to help him replace his deck and do additional brickwork. Caller was concerned the co-workers may have been coerced and was also concerned how [REDACTED] afforded the materials. Caller was to deliver a video of the activity to Townes at DOT HQ.	Newberry	Waiting on video - in the meantime will review Pcard usage in Newberry looking for material purchases
2012-5	3/6/2012		[REDACTED] report	Townes	Two employees from Darlington reported that the RME noticed road identification signs had been disappearing and he called some nearby metal recyclers who identified 2 SCDOT employees as bringing the metal in to be recycled.	Darlington	Employees are being terminated
2012-6	3/6/2012		Call	Townes	District Mechanical Engineer noticed an employee stealing gas and placing the gas cans outside the fence for later pickup and questioned him. Employee confesses and is terminated and called his daughter to have her dispose of other gas cans at his house and neighbor called police	Greenwood	Employee confessed to stealing 5 gallons and was terminated. No charges were filed.

2012-7	3/6/2012		██████████ report	Townes	Individuals that used to work for traffic signal shop in Pickens were provided a car to travel to the Greenville Signal Shop. The workday in Greenville is to begin at 7:00 but do not leave Pickens until 7:30 and they Greenville in time to return home by 5:00.	Pickens / Greenville	Glenda to check into this
2012-8	3/20/2012		Memo from Dennis Townsend	Townes	Vandalism of SCDOT Tractor 201-13-0004	Darlington	Police Report filed with Darlington County Sheriff
2012-9	3/20/2012		Compliant	Townes	Inspection of Woodside Plantation Bridges by SCDOT Bridge Crew. Woodside is a Private Gated Community	Aiken	Report Issued on Findings 10/14/2012
2012-10	3/21/2013		Routine Audit	Martin/Townes	Inspection of Laptop Computers identified some missing and a Police Report was filed with Florence County Sheriff and employee was arrested on break in trying to cover up theft	Florence	Report issued
2012-11	4/6/2012		Hotline Voicemail	Townes	██████████ and ██████████ from SCDOT were seen buying a 12 pk. Bud Light from Li'l Cricket at ██████████ Spartanburg between 5:45 and 6:30. Concern is they were wearing reflective vest, in a DOT Pickup with winch on front and back then headed to Hwy 29 to deal with downed trees or a traffic accident. Caller has pictures/video and said if nothing is done they would go to WSPA TV-7	Spartanburg	Working on verifying surveillance camera footage from Li'l Cricket. On May 8 @ 3:15 Paul made contact with ██████████ , ██████████ with Li'l Cricket and ██████████ stated she reviewed the security camera footage for the indicated times but did not see what appeared to be DOT employees purchasing beer.
2012-12	4/20/2012		Mail	Townes	Following accident a DOT worker that was supposedly using prescription pain killers, was to be drug tested but instead the individual riding in the truck was tested	Greenville/ Travelers Rest	HR is going to recommend random drug tests for the employees involved.

2012-13	5/8/2012		Mail	Townes	RME Pope discovered Georgetown Repair Shop had been broken into during the early morning hours of 4/24/12. Reportedly \$5,600 worth of tools were stolen and ~ \$200 in damage done to building. Most expensive item taken was OTC Genisys Scan Tool - \$5,000	Georgetown	Police Report filed with Georgetown Police Department
2012-14	5/9/2012		Mail	Townes	SCDOT Vehicle [REDACTED] parked at [REDACTED] in West Columbia during the hours of ~ 10:00am to ~ 2:00pm.	Lexington	House belongs to [REDACTED] in Dist. 1 [REDACTED] Truck is probably assigned to [REDACTED] as well.
2012-15	4/26/2012		Telephone	Townes	Individual called reported that [REDACTED] employee [REDACTED] is sending out large number of emails to her during working ours and she feels that these personal communications should be sent during the evening hours.	Lexington	Requested email records to review from IT
2012-16	4/26/2012		Telephone	Griggs	Individual that was not a SCDOT employee used a SCDOT gas card at several gas stations.	Fairfield, Richland, Lexington	Fairfied Cty Sheriff Dept, Cpl. Jay Burroughs, investigated and arrested the individual that used the card.
2012-17	4/26/2012		Telephone	Townes	Individual at [REDACTED] has heavy menstrual cycles and used to be allowed additional time off and light duty and now Mgmt is requiring a Dr. excuse and she does not have the same Dr. anymore	Georgetown	Referred to HR with follow up
2012-18	7/23/2012		Telephone	Townes	[REDACTED] in Jasper county complains of discrimination/racism in [REDACTED] by [REDACTED] - [REDACTED] [REDACTED] has called in before in July and had similar complaints. He stated he has talked to Glenda in HR and that nothing has been done to solve problems.	Georgetown/Jasper	Referred to HR with follow up

2012-19	6/22/2012		PO Box	Townes/Griggs	Bullying of employees to get them to change their story. Work environment has become hostile and intimidating. Employees are afraid to speak up due to backlash they may receive.	Dist 2 [REDACTED]	Seems to follow suit of a letter that Darlene Rikard received regarding a complaint against another employee and the way they were driving
2012-20	3/26/2012		PO Box - Phone Call	Wilkes/Townes	Employee involved in accident left personal property in the car and was having trouble recovering this property through the District Office	Construction B Office - Charleston	Referred to DEA and Resolved
2012-21	7/18/2012		Fax from SC OIG Office	Townes/Griggs	Annonymous complaint that [REDACTED] was using SC DOT labor to maintain [REDACTED] grass. Frequent walker through the neighborhood noticed DOT truck [REDACTED] parked in [REDACTED] driveway and laborer mowing grass, edging, etc.	Lexington, SC	First thing was to look up homeowner information for address provided. The home at one time did belong to [REDACTED] but from June 1997 to June 2002. As a result, case was closed because [REDACTED] is no longer owner of the house. Still are going to retrieve records on vehicle in question to see if anything else appears.
2012-22	3/25/2012		Email to Washington & Griggs and telephone call from Griggs	Townes/Griggs	[REDACTED] from [REDACTED] had questions regarding Bees Ferry Rd project in Charleston and a switch that was made regarding storm water management solutions	Bees Ferry Rd Project - Charleston	SCDOT is not main party to project. Mr. [REDACTED] was advised to contact Voight Shealy at MMO to determine appeal rights. Mr. Benty was further advised that SCDOT only advised the change and no problem occurred
2012-23	7/27/2012		Meaage on Fraud Hotline	Griggs	[REDACTED] left a message concerning some inappropriate activities at [REDACTED]		Griggs called Mr. [REDACTED] back and explained that SCDOT does not have responsibility for trucking firms operating in SC and provided Mr. [REDACTED] telephone number of Federal DOT to report trucking firms
2012-24	8/15/2012		Call to Fraud Hotline	Griggs	Caller said that problems abound in Georgetown SC and that previous calls to the Hotline and HR are not be taken seriously - stated that someone is going to have enough and somebody may try to "kill" someone.	Georgetown	Turned it over to Darlene Rikard in HR and notified Townes

2012-25	9/4/2012		Message on Fraud Hotline	Griggs	Caller said a potential contractor was working with (playing) a DOT Mower used to cut grass. Reported contractor was [REDACTED] at [REDACTED] in Liberty SC	Pickens	No contact information was left for caller so turned in over to Clem Watson to investigate. Jim Johannemann is investigating.
2012-26	9/12/2012		Call to Fraud Hotline	Griggs	[REDACTED] from [REDACTED] in Beaufort called to inquire about Condemnation Action and Suit # involving [REDACTED]. [REDACTED] also mentioned that previous [REDACTED] may be mailing inaccurate information to homeowners and may be intrested in being a witness for DOT. [REDACTED]	Beaufort	Called Linda to discuss; Linda suggested I call Ken Feaster; Turned Ms. [REDACTED] information over to Ken to contact with Suit #, attorney information, etc
2012-27	10/4/2012		Call to Fraud Hotline	Townes	Individual from SHEP called to say Spartanburg Maintenance will not change oil in the SHEP Trucks and are not very cooperative in their dealings with SHEP.	Spartanburg	Turned over to Wendy Nicholas for investigation

CONFIDENTIAL

SCDOT INTERNAL INVESTIGATIONS – 2013-2015
As of August 11, 2015

Total cases 2013-15

58 different complaints

15 substantiated; 29 unsubstantiated; 10 pending

2013 Summary

23 complaints (2 duplicates)

**17 investigated by SCDOT, 3 referred to law enforcement, 1 investigated by State
OIG**

**11 allegations substantiated, 10 unsubstantiated
SLED involved cases –stealing gas; drug use; using position for financial gain
OIG case – failure to properly supervise**

2014 Summary

24 complaints

**22 investigated by SCDOT, 2 referred to law enforcement
3 allegations substantiated, 16 unsubstantiated; 3 pending
SLED referred case – drug use**

2015 Summary

12 complaints (1 duplicate from 2013)

**11 investigated by SCDOT, 2 referred to law enforcement, 1 also investigated by
OIG**

**2 substantiated, 3 unsubstantiated; 7 pending
SLED involved cases – using position for financial gain
OIG case – Lynches River**

2013 COMPLAINTS TO LEGAL					
Complaint No.- Allegations	Office Involved	Received by Legal	Referred to	Response received	Final action
2013-01 Work performed on private property of employee	Dist 4- Chester Mtnce	01/28/13 from OCIA	01/29/13 – referred to Deputy Sec of Engineering for development of facts and recommendation	02/15/13 – Deputy Sec of Engineering confirmed some allegations, recommended disciplinary action	Disciplinary action taken
2013-02 Procurement allegations	HQ- Traffic Eng Procurmt	01/8/13 from OCIA through Chief of Staff- asked to hold for contact at AG's office	01/15/13 – referred to Chief Procurement Officer and Chief Engineer for Operations for development of facts, copy to AG's office	03/04/13 – Deputy Sec of Engineering and Chief Procurement Officer investigated and found no violations	Invest. report transmitted to AG for further action as appropriate if collusion found
2013-03 Employee converting SCDOT salvage material to personal use	Dist 1 – Sumter Mtnce	01/11/13 from State OIG through Chief of Staff	01/15/13 – referred to Deputy Sec of Engineering for preliminary review	03/01/13 – Deputy Sec of Engineering confirmed some allegations, recommended disciplinary action	Disciplinary action taken
2013-04 Contractor payment of bonus to SCDOT employee	Dist 1- Traffic	02/21/13 from DBE Office through Director of Support Services	02/21/13 – referred to SLED, with copy to USDOT OIG and State Inspector General	11/10/14 – 1 st employee arrested by SLED 08/07/15 – 2 nd employee arrested by SLED	Two employees arrested - 1) Breach of trust – 2) use of office for personal gain
2013-05 Fuel theft/ P-Card misuse	Dist 6- Beaufort Mtnce	02/27/13 from District 6 DEA	02/28/13 – referred to SLED with copy to State OIG	03/14/13 – SLED Agent is working case	Employee arrested for stealing fuel
2013-06 Anon complt re sexual harassment	Dist 1- Sumter Mtnce	03/09/13 from Gov's office via Chief of Staff	03/11/13 – referred to Director of HR and Assist Chief Counsel	3/15/13 – Asst Chief Counsel and HR Director Investigated and found no basis	No further action warranted.

2013-07 Complaint re emotional harassment	HQ	03/13/13 from employee via Secty	03/13/13 – referred to Director of HR	4/25/13 – HR Director investigated and found no evidence of hostile work environment	Director of HR recommended strategies to improve communications skills & overall morale in office
2013-08 Basically a rerun of 2013-3		03/28/13 from State OIG via Chief of Staff	03/28/13 – referred to Depty Secty of Engineering to make sure no new complaints	05/22/13 – Engineering performed 2 nd review but found no new complaints	Duplicate
2013-09 Herbicide crew not fully employed	Dist 1- Lexington Mtnce	04/29/13 from State OIG via Chief of Staff	04/29/13 – referred to Depty Secty of Engineering	6/07/13 – State & Dist Mtnce Engs invstgated- no disciplinary action, but revising job duties	Revision of employee's job duties and transfer to more central location
2013-10 Return to Work abuse	Dist 3- Pickens	05/03/13 From State OIG via Chief of Staff	05/06/13 – referred to Director of HR	6/18/13 – Dir of HR investigated; allegations unfounded	No further action needed
2013-11 Time sheet issues and violation of outside employment agreement	HQ	06/11/13 fm Depty Dir of Finance	06/11/13 – referred to Depty Sec of Engineering and Dir of HR	06/13/13 - Chf Engr for Pl, Loc and Design and Dir of HR investigated – recommended disciplinary action	Disciplinary action taken
2013-12 Fuel Theft	Dist 7- Clarendon Mtnce	06/17/13- from State OIG via Chief of Staff	06/17/13 – referred to SLED	10/04/13 – SLED Agent still working case	Employee determined to be using SCDOT eqmt for personal use confirmed; employee resigned
2013-13 Pothole issue	Dist 3 Greenville Mtnce	06/25/13 from State OIG via Chief of Staff	06/25/13 – referred to District Engineer	6/27/13 – Pothole has been repaired	No further action needed

2013-14 Use of State property for personal use	Beaufort Maintce	07/24/13 – thru State OIG	State OIG is handling	12/30/13 –no serious allegations could be substantiated	Management/HR issue – Closer supervision needed
2013-15 – Contractor issues	Office of Construction and DBE	08/09/13 – from State OIG via Chief of Staff	8/20/13 – Deputy Engineer for Operations and Support Services	9/27/13 – Allegations as to issues SCDOT were not valid. Most issues were about matters SCDOT does not regulate.	No further action needed.
2013- 16 – Fuel Theft – same as 2013-12	Clarendon Mtnc	8/21/13 – from State OIG via Chief of Staff	8/21/13 - SLED	10/04/13 – SLED still working case	Duplicate
2013-17 Conversion of state property to personal use	Aiken Road Crew	8/21/13 – from State OIG via Chief of Staff	8/21/13 - Deputy Engineer for Operations	10/04/13 – Allegation re use of scrap signs and smoking in SCDOT truck were confirmed	Employee returned scrap signs and has been disciplined for smoking in truck
2013-18 Failure to follow Technician Certification Program procedures	District 3	8/21/13 – from State OIG via Chief of Staff	8/21/13 – Deputy Engineer for Operations	9/27/13 – Allegations could not be confirmed. Complainant may be confusing the experience reqmnts for this course another course.	Engineering Staff has been reminded of experience requirements. USC and Trident Tech are reminded to review forms closely.
2013-19 Misuse of time, eqmt and other allegations (drug use)	Spartanburg Maintenance	9/9/13 – from Clem Watson, Director of Field Ops	9/9/13 –to SLED for drug issues, HR and District 3 office already handling other issues	10/4/13 – SLED investigation ongoing 11/26/13 – discussed w/ Steve Gwinn 05/27/14 - Investigation completed. Drug use not substantiated. Mismanagement was found.	Two employees demoted and reassigned; four resigned in lieu of corrective action; two received written reprimands; one terminated for improper conduct

2013-20 Disposal of salvage material	Union Maintenance	11/07/13- from State OIG via Chief of Staff	11/07/13 – to Deputy Engineer for Field Ops	06/26/14 – SCDOT guidelines on disposal of surplus materials not followed	Three employees disciplined; SCDOT guidelines to be revised to make clearer
2013-21 Msmgmt/ discrimination	Georgetown Maintenance	11/27/13- from State OIG via Chief of Staff	11/27/13- to Depty Engr for Field Ops and Director of HR	01/21/14 – discussed with Kenny Eargle and Karl McCottrey	Some complaints substantiated; two disciplinary actions were recommended; one left before disciplined; other disciplined
2013-22 – Improper conduct	Newberry Maintenance	12/4/13 – from Secty of Trans	11/27/13 -- to Depty Engr for Field Ops and Director of HR	12/20/13 – After investigation, employee was counselled	Employee was counselled on improper behavior
2013-23- Time and attendance fraud, offsite meetings, Technician Cert policy	District 3 Office	11/18/13 – from OIG via Chief of Staff	11/18/13- to Dpty Eng for Field Ops and HR	01/30/14 – After Investigation, none of allegations were substantiated	District 3 management reminded staff of importance of adherence to scheduled lunch hours and other policies

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OIG case – failure to properly supervise

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Complaint No.- Allegations	Office Involved	Received by Legal	Referred to	Response received	Final action
2014-01 Mismngmt and conversion of assets to personal use	Dist 6- Signal Shop	01/16/14 from State OIG via Chief of Staff	01/16/14 – Depty Sec of Eng and HR Director	03/18/14 – Depty Sec of Engineering investigated. Complaint was unsubstantiated.	No action necessary. Complaint was unsubstantiated.
2014-02 Time and attendance fraud	Spartanbg Mntce	01/31/14 – fm OIG via Chief of Staff	02/5/14 – Depty Sec of Eng and HR Director	05/27/14 – Practices for assigning overtime were questionable	Process for assigning overtime has been revised.
2014-03 Selling moonshine/drug use	Spartanbg Mntce	02/07/14 – fm OIG via Chief of Staff	02/07/14 – Depty Sec of Eng and HR Director – SLED Involved	5/27/14 – Allegations re moonshine unsubstantiated; drug and alcohol testing was performed; law enforcement drug dogs used; no drug use found	Employee resigned after admitting to improperly providing prescription drugs to ex SCDOT employee
2014-04 Mismngmt, Time/attendance fraud, misconduct	Aiken Mtnce	02/27/14- from OIG via Chief of Staff	02/28/14 Depty Sec of Eng and HR Director	03/25/14 – Depty Sec of Eng investigated. Allegations were for the most part unsubstantiated.	Employees were counselled about their management style.
2014-05 Mismanagement due to parking issue	District 6 Office	03/13/14 – from OIG via Chief of Staff	03/24/14 – Depty Sec of Eng (who was already working on this complaint)	04/01/14 – the engineer reviewed and gave complainant his written, certified opinion	Not substantiated. Complainant did not like SCDOT engr's professional opinion
2014-06 Collusion to avoid termination	District 7	03/17/14- from OIG via Chief of Staff	03/24/14 – Depty Sec of Engineering and HR Director	03/28/14 – HR Investigated. Allegations were based on Incorrect assumptions.	No action necessary. Actions taken in regard to termination were appropriate.

2014-07 Landowner complaint	Anderson Maintenance	03/18/14 – from OIG via Chief of Staff	03/25/14 – Depty Sec of Engineering for awareness only	03/24/14 – local office has responded appropriately to date	No action necessary.
2014-08 Supervisory issues	Dorchester Construction	03/18/14- from OIG via Chief of Staff	03/25/14- Depty Sec of Eng and HR Director	08/01/14- Allegations unsubstantiated	No action necessary.
2014- 09- Theft, drugs, time and attendance fraud, supervisory problems	Spartanburg Maintenance (2014-579-C)	04/01/14- from OIG via Chief of Staff	04/01/14 – to Director of HR and Depty Sec of Engineering	5/27/14 – Some improper use of surplus material found; no drug use found; some mismanagement found; poor supervision	Two employees were demoted and reassigned; four resigned in lieu of corrective action; two received written reprimands; one terminated for improper conduct
2014 -10 Employee termination	Spartanburg Maintenance (2014-573-C)	04/01/14 – from OIG via Chief of Staff	04/01/14- Director of HR and Asst Chief Counsel Moore	7/14/14 – Employee was terminated during probationary period for performance issues	No action necessary.
2014-11 Cross Island Pkway toll collection	Outside toll collection contractor	04/23/14- from Comr Wooten via Interim Secty	04/24/14 – to Office of Contract Assurance	05/19/14 – Customer failed to wait for green light before proceeding, triggering a violation	Not substantiated. Ltr to Mr. Woltjen – posted new sign at toll booth: “Wait for green light”
2014 -12 Financial interest in encroachment permit process	Horry County	05/02/14 – from Acting Secty Hall	05/02/14 – handled by Depty Sec of Engineering	06/16/14 – permit requirements were within standards – no financial interest found	No further action necessary.

2014-13 SCDOT employee sitting idle on truck	Aiken County	05/02/14- from OIG via Chief of Staff	05/05/14 – to Depty Director of Engineering	06/12/14 - Dpty Sec of Engineering – employee was on break	No further action necessary
2014-14 Fraud in use of FTA grant by CMRTA	Richland County	05/12/14 From Sec of Transp	05/12/14 – to Atty for FTA Region IV, Fed OIG and FHWA Lee	10/27/14 – from USDOT OIG – no evidence found that allegations have merit	Not substantiated. Ltr to Complainant advising that no evidence was found to confirm her allegations
2014-15 SCDOT employees smoking in State truck	Lexington County	06/11/14 – From State OIG via Chief of Staff	06/11/14 – To Depty Sec for Engineering	Since complaint gave insufficient identifying information, this complaint could not be investigated	No further action required.
2014-16 Two abusive employees	Laurens Maintenance	07/22/14 – from State OIG via Chief of Staff	07/22/14 – to Depty Sec for Engineering and HR	02/18/15 – HR conducted investigation; allegations had some basis, but had been addressed internally	No further action necessary
2014-17 Time and attendance fraud; supervisory issues	Abbeville Bridge Repair Crew	07/24/14 – from State OIG via Chief of Staff	07/22/14 –to Depty Sec for Engineering and HR		
2014- 18 Mishandling of flooding issue	Barnwell Maintenance	09/29/14- from State OIG via Chief of Staff	09/30/14 – to DEA Woodrum	10/13/14 – DEA Woodrum and DME reviewed issue. SCDOT has no obligation re flooding issue. Wrongdoing by SCDOT employee could not be confirmed, but employee was counselled on	No further action required.

				how to handle property owner more professionally.	
2014-19 Private use of SCDOT facilities	Lexington Offices	10/2/14 – from State OIG via Ch of Staff	10/2/14 – to Deputy Sec of Engineering		
2014-20 Retaliation for filing OIG complaint	W Cola Mtnc	10/9/14 – from State OIG via Chief of Staff	10/9/14 – to Deputy Sec of Engineering and HR Director	02/18/15 – HR investigated and could find no substance to the allegations	No further action required
2014-21 Inappropriate comment by supervisor	N Charleston Traffic Engr	10/20/14 – from State OIG via Chief of Staff	10/20/14 – to Deputy Sec of Engineering and HR Director	02/13/15 – Chief Engineer of Operations reviewed and concluded there was no substance to the allegations	No additional action required
2014-22 Inappropriate use of overtime	Spartanburg Mtnc	10/29/14 – from State OIG via Chief of Staff	10/29/14 – to Deputy Sec of Engineering	02/18/15 – HR and Engineering investigated and found no substance to allegations	No further action required
2014-23 Bribery for promotion	Cherokee Mtnc	10/29/14 – from State OIG via Chief of Staff	10/29/14 – to SLED – SLED referred back to SCDOT – to HR	02/18/15- SCDOT HR investigated and found no evidence to support the allegations	No additional action required
2014-24 Retaliation, mismanagement, misuse of state vehicles	District 5 construction	10/30/14 – from State OIG through Chief of Staff	10/31/14- to Deputy Sec of Engineering and HR Director		

2014 Summary – 24 complaints**22 investigated by SCDOT, 2 referred to law enforcement****3 allegations substantiated, 16 unsubstantiated; 3 pending****SLED referred case – drug use**

2015 COMPLAINTS TO LEGAL					
Complaint No.- Allegations	Office involved	Received by Legal	Referred to	Response received	Final action
2015-01 OIG 1024-C Prequalification of contractor	HQ Construction – Prequalification (Lynches River)	02/11/15	Retained in Legal due to pending case on same issue	4/17/15- This matter was reviewed by Legal, the Secretary and the Commission. Lynches River was not disqualified due its compliance with regulations.	No further action required except for monitoring performance of Lynches River.
2015-02 OIG 1073-C Mismanagement	Spartanburg Mtnce	03/02/15	Depty Sec of Engr and HR		pending
2015-03 OIG 1037-C Procurement violation	Charleston Mtnce – Water filters	03/09/15	Chief of Procurement	03/19/15 – No violations of procurement rules found	No action needed.
2015-04 OIG 1100-C Employee behavior	Spartanburg Office	03/18/15	Depty Sec of Engr and HR		pending
2015-05 OIG 1107-C Nepotism	District 3	03/18/15	Depty Sec of Engr and HR	03/24/15 - alleged violation not factually supported	No action required
2015-06 District One Signal Shop	District 1 Signal Shop – See 2013-04	03/25/15	SLED – This is the same issue that SLED is already investigating	Two arrests made: 11/10/14 08/07/15	Terminations of two employees
2015-07 Trespassing and damage to real property	Georgetown Maintenance or Construction	03/06/15	District 5 DEA		Pending
2015-08 Time and attendance fraud	Orangeburg District office	06/12/15	Depty Sec of Engr		Pending
2015-09 SCDOT crew cutting trees on private property	Oconee County	06/15/15	Depty Sec of Engr		Pending

2015-10 Procurement violations	District 3	06/16/15	Depty Sec of Engr and Chief Procurement officer		Pending
2015-11 Sexual Harrassment/ Procurement violations	HQ	03/05/15	SLED/HR		Pending
2015-12 Procurement violations	District 6 – Signal shop	05/26/15	District 6 DEA and Chief Procurement Officer	Procurement violations were confirmed	When employee confronted with charges, he chose to retire

2015 Summary

12 complaints (1 duplicate from 2013)

11 investigated by SCDOT, 2 referred to law enforcement, 1 also Investigated by OIG

2 substantiated, 3 unsubstantiated; 7 pending

SLED Involved cases – using position for financial gain

OIG case – Lynches River